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# **PART 2B OF FORM ADV: *BROCHURE SUPPLEMENT***

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**DOLIVER ADVISORS**  
— Building Wealth Since 1988 —

James Pryor – CRD #6264193  
Ron Olin – CRD #1651137  
Rasool Shaik – CRD #5560620  
Scott Jackson – CRD #5602182  
Christopher Day – CRD #4672469

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This Brochure Supplement provides information about the Firm's Supervised Person's employment and disclosures with regard to Doliver's Form ADV Part 2A Brochure. Please contact James Pryor, Chief Compliance Officer, if you did not receive Doliver's Brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Supervised Persons is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ***James Pryor, CFP, MBA***

### **ITEM 2- EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

James Pryor was born in 1991. He graduated with a Bachelor of Business Administration in Finance and Legal Studies at Temple University in May 2013. James serves as Doliver's Chief Operating Officer and Chief Compliance Officer. Prior to joining Doliver in 2021, James served as an Associate Wealth Advisor for AltruVista. Prior to AltruVista, James was a Lead Nonprofit Analyst, Sales Executive, and Assigned Representative with Vanguard in Malvern, PA for a total of 7 years. James completed his MBA in Innovation Management in 2019 at Temple University. James is a Certified Financial Planner, which requires a bachelor's (or equivalent) degree and three years of professional work experience. In addition, CFP holders must pass all six modules of the CFP Curriculum and follow the CFP Board Code of Ethics and Standards of Professional Conduct.

### **ITEM 3- DISCIPLINARY INFORMATION**

James has neither past nor present disciplinary infractions.

### **ITEM 4- OTHER BUSINESS ACTIVITIES**

James is employed and dually-registered with SPS Securities, a Houston-based broker-dealer. James performs periodic administrative functions and is not compensated by SPS Securities.

### **ITEM 5- ADDITIONAL COMPENSATION**

James does not receive additional compensation in the form of cash or some other economic benefit (including commission, equipment, or non-research services) from a non-client in connection with giving advice to clients.

### **ITEM 6- SUPERVISION**

James is supervised pursuant to our policies and procedures by the owner, Ralph McBride, who can be reached at 713-917-0022.

## ***Ronald G. Olin***

### **ITEM 2- EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Ronald G. Olin (Ron) was born in 1945. He attended Rice University where he earned his B.A. and his M.A. in electrical engineering. Prior to working for Doliver, he worked as a systems analyst and manager for IBM.

He, along with Ralph McBride, founded Doliver in 1988. He is Doliver's Closed-End Funds portfolio manager.

### **ITEM 3- DISCIPLINARY INFORMATION**

Ron has neither past nor present disciplinary infractions.

### **ITEM 4- OTHER BUSINESS ACTIVITIES**

Ron has no outside business activities.

### **ITEM 5- ADDITIONAL COMPENSATION**

Ron does not receive additional compensation in the form of cash or some other economic benefit (including commission, equipment, or non-research services) from a non-client in connection with giving advice to clients.

### **ITEM 6- SUPERVISION**

Ron is supervised pursuant to our policies and procedures by the owner, Ralph McBride, who can be reached at 713-917-0022.

## ***Rasool Shaik, CFA, MBA, MS***

### **ITEM 2- EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Rasool Shaik was born in India in 1971. He received his undergraduate degree in Engineering at the Indian Institutes of Technology in 1993. After graduating, he moved to the United States to attend graduate school for a Master of Science degree in Engineering at Michigan Technological University in Houghton, MI from 1994-1996. In 1997, he began work as a Senior Solution Architect at I2 Technologies. From 2004-2006 Rasool completed his MBA in Finance and Accounting at the University of Chicago in IL. After this Rasool worked as the Director of Research and as the Lead Portfolio Manager at Bridgeway Capital Management until 2013. In 2014 Rasool founded EMC Asset Management, where he still works as a Managing Partner. He joined Doliver as a Portfolio Manager in 2020. He holds his CFA and is the portfolio manager for Doliver's microcap strategies. In addition, CFA holders must have a bachelor's degree (or equivalent), three years of professional work experience, and 2-3 professional letters of recommendation.

### **ITEM 3- DISCIPLINARY INFORMATION**

Rasool has neither past nor present disciplinary infractions.

### **ITEM 4- OTHER BUSINESS ACTIVITIES**

Rasool is the founder, Managing Principal, and Chief Compliance Officer at EMC Capital Management LLC.

### **ITEM 5- ADDITIONAL COMPENSATION**

Rasool receives does not additional compensation in the form of cash or some other economic benefit (including commission, equipment, or non-research services) from a non-client in connection with giving advice to clients.

### **ITEM 6- SUPERVISION**

Rasool is supervised pursuant to our policies and procedures by the owner, Ralph McBride, who can be reached at 713-917-0022.

## ***Scott Jackson***

### **ITEM 2- EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Scott Jackson was born in 1979. He is a Portfolio Manager at Doliver. His strategy consists of constructing a diversified equity portfolio and overlaying it with an options strategy to enhance income and reduce volatility of the overall market. Prior to joining Doliver Advisors, Scott was a Portfolio Manager at Legacy Asset Management. Prior to Legacy Asset Management, Scott was an Energy and Healthcare Analyst for Andrews Capital Management, a hedge fund based in Houston, TX. Before Andrews Capital Management, he owned his own equity trading firm, SMJ Securities. Scott began his career working as an equity trader in New York. He earned his Bachelor of Science in Economics from the University of Texas, Austin in 2002 and his MBA in finance from the University of Houston in 2009. Scott is a licensed investment advisor representative and is a native Houstonian.

### **ITEM 3- DISCIPLINARY INFORMATION**

Scott has neither past nor present disciplinary infractions.

### **ITEM 4- OTHER BUSINESS ACTIVITIES**

Scott has no outside business activities.

### **ITEM 5- ADDITIONAL COMPENSATION**

Scott does not receive additional compensation in the form of cash or some other economic benefit (including commission, equipment or non-research services) from a non-client in connection with giving advice to clients.

### **ITEM 6- SUPERVISION**

Scott is supervised pursuant to our policies and procedures by the owner, Ralph McBride, who can be reached at 713-917-0022.

## ***Christopher Day***

### **ITEM 2- EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Christopher was born in 1980. He serves as a Managing Director and Portfolio Manager involved in family office, asset management, and business development. He earned his Bachelor of Science in Business Administration in Finance & Management Information Systems from Northeastern University in Boston, MA. Christopher started his career working for a family office on a proprietary trading desk in Palm Beach, Fl. His background in sales & trading, and management consulting spans 19 years. Christopher is also a Project Management Professional (PMP) previously functioning in management advisory roles for fortune 500 and family office client projects. Additionally, he functions in a management advisory capacity on the strategic evolution of family office and corporate services needed for expanding firm clientele. He is also the portfolio manager of Doliver's absolute return investment strategy. Chris is a licensed investment advisor representative and native Houstonian.

### **ITEM 3- DISCIPLINARY INFORMATION**

Christopher has neither past nor present disciplinary infractions.

### **ITEM 4- OTHER BUSINESS ACTIVITIES**

Christopher has no outside business activities.

### **ITEM 5- ADDITIONAL COMPENSATION**

Christopher does not receive additional compensation in the form of cash or some other economic benefit (including commission, equipment or non-research services) from a non-client in connection with giving advice to clients.

### **ITEM 6- SUPERVISION**

Christopher is supervised pursuant to our policies and procedures by the owner, Ralph McBride, who can be reached at 713-917-0022.